



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Francisco M. Galanes

Appln. No.: 10/046,131

Filed : October 21, 2001

For : WEB SERVER CONTROLS FOR WEB  
ENABLED RECOGNITION AND/OR  
AUDIBLE PROMPTING

Docket No.: M61.12-0393

Group Art Unit:

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED  
UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)**

**Box Missing Parts**  
Commissioner for Patents  
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
SENT BY U.S. MAIL, FIRST CLASS, TO THE  
ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, D.C. 20231, THIS

15 DAY OF October, 2002  
  
PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Parts of application - Filing Date Granted dated April 15, 2002, Applicant encloses the following documents to complete the above-identified patent application filed October 21, 2001:

1. Our check in the amount of \$3,692.00 (to cover the filing fee \$1,472.00, extension of time fee of \$1,960.00. petition fee of \$130.00 and surcharge fee of \$130.00).
2. Combined Declaration and Power of Attorney executed by the inventor(s).
3. Fee Calculation Sheet (duplicate).
4. Notice to File Missing Parts of Application-Filing Date Granted.
5. Petition for Five-month Extension of Time and Fee.
6. Petition Under 37 C.F.R. 1.47(a) to Proceed in View of a Non-Signing Joint Inventor.
7. Declaration of Steven M. Koehler.

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,  
WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

Steven M. Koehler, Reg. No. 36,188  
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SMK:jmb

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#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/046,131	10/21/2001	Hsiao-Wuen Hon	M61.12-0393

CONFIRMATION NO. 9228

## FORMALITIES LETTER



\*OC000000007644940\*

Steven M. Koehler  
WESTMAN CHAMPLIN & KELLY  
Suite 1600 - International Centre  
900 South Second Avenue  
Minneapolis, MN 55402-3319

Date Mailed: 03/15/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$732. 10/28/2002 AMONDAF1 00000006 10046131
 

■ \$648 for 36 total claims over 20.	03 FC:1001	740.00 OP
■ \$84 for 1 independent claims over 3.	04 FC:1051	130.00 OP
	05 FC:1201	84.00 OP
	06 FC:1202	648.00 OP
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1602.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

H. Truong

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE